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BRIAN M. DUGAN, PH.D.

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FACSIMILE COVER SHEET

Date: 3/21/07

**PLEASE DELIVER THE ATTACHED MESSAGE TO:**

Examiner: Charles N. Greenhut Phone No.: (571) 272-1517  
Fax No.: (571) 273-8300  
From: Valerie G. Dugan

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Our File No.: Docket No. 8092/Y01/SYNX/SYNX/HMM

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael R. Rice et al.  
Serial No. : 10/764,820  
Filed : January 26, 2004  
For : OVERHEAD TRANSFER FLANGE AND SUPPORT  
FOR SUSPENDING A SUBSTRATE CARRIER  
Examiner : Charles N. Greenhut  
Group Art Unit : 3652

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TOTAL NUMBER OF PAGES INCLUDING THIS PAGE: 5

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No. 1482 P. 2

Docket No. 8092/Y01/SYNX/SYNX/HMM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Martin R. Elliott, Robert B. Lowrance, Jeffrey C. Hudgens and Eric A. Englhardt  
Title: OVERHEAD TRANSFER FLANGE AND SUPPORT FOR SUSPENDING A SUBSTRATE CARRIER  
Serial No.: 10/764,820  
Filed: January 26, 2004  
Examiner: Greenhut, Charles N.  
Group Art Unit: 3652

Transmitted herewith is:

- PTO Form 1449; and  
 Information Disclosure Statement with one cited reference.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$50.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$200.00	\$0.00
Basic Filing Fee (\$300.00), Utility Search Fee (\$500.00), Utility Examination Fee (\$200.00)					\$1000.00
TOTAL FEES					PAID

The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

Please address all future correspondence to: Customer # 41161  
Dugan & Dugan, PC  
55 South Broadway  
Tarrytown, NY 10591

Certificate of Mailing/Transmission (37 C.F.R. Section 1.8(a))  
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 transmitted by facsimile to the U.S. Patent and Trademark  
Office

Valerie G. Dugan  
Signature

3/21/07  
Date

Valerie G. Dugan  
(name of person certifying)

Respectfully submitted,

Valerie G. Dugan  
Valerie G. Dugan  
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(914) 332-9081

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PATENTS  
8092/Y01/SYNX/SYNX/HMM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice et al.  
Serial No. : 10/764,820  
Filed : January 26, 2004  
For : OVERHEAD TRANSFER FLANGE AND SUPPORT FOR  
SUSPENDING A SUBSTRATE CARRIER  
Group Art Unit : 3652  
Customer No. : 41161  
Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following reference:

U.S. Patent No. 7,051,870 B2, Schoendienst et al.

Certificate of Mailing/Transmission (37 C.F.R. Section 1.8(a))  
I hereby certify that, on the date shown below, this correspondence is being:  
 transmitted by facsimile to the U.S. Patent and Trademark Office

Valerie G. Dugan 3/21/07  
Signature Date

Valerie G. Dugan  
(name of person certifying)

This reference is also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,



Valerie G. Dugan, Esq.  
Registration No. 36,125  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated: 3/21/07  
Tarrytown, New York

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Sheet 1 of 1 sheets

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## LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Docket No.:  
8092/Y01/SYNX/SYNX/HMMSerial No.:  
10/764,820

Applicants:

Michael R. Rice et al.

Filing Date:  
January 26, 2004Group:  
3652

## U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
US-1		7,051,870 B2	5/30/06	Schoendienst et al.			
US-2							
US-3							
US-4							
US-5							
US-6							
US-7							
US-8							
US-9							
US-10							
US-11							

## Foreign Patent Documents

Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
F-1								
F-2								
F-3								
F-4								
F-5								

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

OT-1	
OT-2	
OT-3	

Examiner \_\_\_\_\_ Date Considered \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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